

No. 04-50189
DC NO. CR 02-350-(A)AHM
(Central District of California)

IN THE UNITED STATES COURT OF APPEAL
FOR THE NINTH CIRCUIT

UNITED STATES OF AMERICA,)	
)	
Plaintiff/Appellee,)	
)	
vs.)	
)	PETITION FOR
)	RECONSIDERATION
STEVEN WILLIAM SUTCLIFFE,)	
)	FRAP 27-10; FRCP 60, Due
)	Process Clause of the
)	Fifth Amendment.
)	Declaration; Exhibits.
Defendant/Appellant.)	
)	
)	
_____)	

Comes Now the Appellant to request the court reconsider its previous decision in this case. The petition to reconsider is based upon law and facts that were either not previously raised by appellate counsel (no longer on the case), or which were raised but improperly framed or examined, or facts that were not raised at the time but could have altered the outcome of the decision had they been reviewed.

INTRODUCTION

Appellant Sutcliffe asserts that numerous due process violations occurred in this case, and that those violations separately and cumulatively led ultimately to the negative OPINION against him in this Court. Appellant further argues that his appellate counsel (Sung Park) failed to raise these due process violations, inter alia, properly, and these failures led to an adverse OPINION by this court. Appellant respectfully requests that the court rehear and reconsider the OPINION.

I. DUE PROCESS VIOLATIONS REGARDING THE DESIGNATION OF THE CASE AS 'COMPLEX'

Sutcliffe's right to Due Process was violated in connection with the treatment of this case as 'complex'. The record is confused¹ on the designation of complexity and this confusion reflects the violation of rights and due process. Early in the case it was designated as a complex computer case, but not in accordance with the legal procedure required by law.

1. On May 16, 2002, (docket 23) "A stipulation and order was filed by the Judge to continue the trial date and exclude time until September 3, 2002" This stipulation listed as reason number 1 that this case was a 'complex' computer case.
2. Reference to the designation of the case as 'complex' can also be found in **Exhibit 1**, attached hereto, filed by Debra W. Yang, on August 27, 2003.
3. It is essential to understand that the designation of the case as a "complex computer case" after initial arraignment is what allowed time to be waived even though Sutcliffe never agreed to waived time. Moreover, the specific stipulation as to the complexity by the prosecutor and the Public Defender's office and judge constituted a specific finding. "We do not count continuances granted by the district court where it made no specific findings supporting a general mantra[s] of 'complexity'." (US v. Aviles, 170 F.3d 863, 869 (9th Cir.1998)).
4. Thus, there is a clear distinction between a 'complex' case as defined by law, and a regularly

¹ "all this is very technical – I *tried* very hard to follow what you were doing. *I don't think the jury could follow it.*" Trial Transcript, 11.17.2003. Judge Alvin Howard Matz, Page 809, lines 12-20

(non-legally defined) complex (or complicated). Only the actual and lawful designation of a case as legally 'complex' can be sufficient for waiving time when the Defendant does not agree to waive time. In order to waive time and justify experts, the court must follow the procedures for designating a case as complex, and *then must manage the case accordingly* - neither of which was done. Therefore, in essence, the court used the 'excuse' of complexity to waive time but failed to adhere strictly to the process, rules and laws governing complex cases.

5. To establish the fact that the case was, in fact, being treated as complex despite the failure of the prosecutor to file the required notice can be found in the transcript of September 26, 2003, on page 31 (This transcript is attached as **Exhibit 2**, hereto):
6. Ms. Duarte's comment, along with the stipulation in the record from May 16, 2002, together provide solid evidence that the court was, in fact treating this case as legally 'complex' for certain purposes.
7. Additionally, the record reflects in numerous locations that experts were ordered for the defense's case. Had the court not designated the case as complex, no experts would have been ordered at state expense. References to these experts can be found most readily in the record from September 26, 2003:
 - a. "THE COURT: Now, I don't think it is inappropriate for the record to note that I've previously authorized experts, or at least one expert... and I think could have been more than one, somebody with specific skill and advanced skill in computer technology and internet technology as well to be appointed to represent or assist prior counsel." See transcript of September 26, 2003, page 20.
8. The Manual for Complex Litigation (2004 Edition) specifies the responsibility of the court to supervise counsel: "**Fair and efficient** resolution of **complex litigation** required that the court exercise early and effective supervision (and where necessary, control), that counsel act cooperatively and professionally, and that the judge and counsel collaborate to develop and carry out a comprehensive plan for the conduct of pretrial and trial proceedings." Additionally, the Manual further specifies the specific duties the Judge should

perform in order to exercise **effective** management of a **complex case**:

“Effective judicial management generally has the following characteristics:

- *It is active.* The judge anticipates problems before they arise rather than waiting passively for counsel to present them. Because the attorneys may become immersed in the details of the case, innovation and creativity in formulating a litigation plan frequently will depend on the judge.
- *It is substantive.* The judge becomes familiar at an early stage with the substantive issues in order to make informed rulings on issue definition and narrowing, and on related matters, such as scheduling, bifurcation and consolidation, and discovery control.
- *It is timely.* The judge decides disputes promptly, particularly those that may substantively affect the course or scope of further proceedings. Delayed rulings may be costly and burdensome for litigants and will often delay other litigation events. The parties may prefer that a ruling be timely rather than perfect.
- *It is continuing.* The judge periodically monitors the progress of the litigation to see that schedules are being followed and to consider necessary modifications of the litigation plan. Interim reports may be ordered between scheduled conferences.
- *It is firm, but fair.* Time limits and other controls and requirements are not imposed arbitrarily or without considering the views of counsel, and they are revised when warranted. Once established, however, schedules are met, and, when necessary, appropriate sanctions are imposed (see section 10.15) for derelictions and dilatory tactics.
- *It is careful.* An early display of careful preparation sets the proper tone and enhances the court’s credibility and effectiveness with counsel. The judge’s role is crucial in developing and monitoring an effective plan for the orderly conduct of pretrial and trial proceedings.”
(See Manual for Complex Litigation, 4th, pages 12-13).

9. By the time this case had been on track for over a year, and with trial only weeks away, Judge Matz had no idea what was going on relating to the experts he had appointed; clearly his supervision of this case was lacking, as reflected in the record from September 26, 2003:

THE COURT: “OKAY. IT COULD BE THAT I AM MISTAKEN IN MY UNDERSTANDING AS TO WHETHER OR NOT PRIOR EXPERTS WERE LINED UP AND I HAVE NO IDEA ONE WAY OR THE OTHER WHETHER THEY DID ANYTHING IF THEY WERE LINED UP.” (See transcript of proceedings from September 23, 2006, page 21.)

10. The fact is that experts were not only ordered, but the original Public Defender’s office had not only found and secured one (paid \$5000), but that expert had analyzed over 1000 pages of data and knew the material quite well and was ready to testify. Mysteriously, none of that information was forwarded to Sutcliffe, to subsequent counsel, or made its way

into the record or trial.² Judge Matz was completely ignorant of what had taken place, and under no circumstances could a reasonable person conclude that he was managing any aspect of this 'complex computer case' or the counsel and experts appointed to defend Sutcliffe.

11. Surprisingly, also on September 26, 2003, Judge Matz directly contradicted the stipulation of complexity made on May 16, 2002 in order to reassure newly appointed counsel Reed that he would be prepared for trial on time.

"THE COURT: ... it doesn't strike me as that complicated a case. The nature of the evidence is a little bit foreign to me because I'm not good at the - - but the nature of the issues is *pretty* straightforward and clear. So I think without prejudicing it that it may not be necessary to consume significant amounts of time to assure that Mr. Reed feels in good faith in his mind that he's up to speed." See transcript of proceedings from September 26, 2003, page 74.

12. Nothing in the case had changed since May 16, 2002, when all agreed the case was, in fact, complex, but here on September 26, 2003, Judge Matz changed that determination unilaterally to persuade Mr. Reed to accept appointment as counsel and to handle the trial, *which at that point was very close at hand*. The Court's characterization of the case as uncomplicated was not truthful, and was a manipulation to secure Reed's appointment. As Reed later found out, the case had become more complicated because of the amount of time that had gone by, not to mention that several items of discovery evidence and reports and all the expert analysis had become lost or stolen³ during that time as well, further complicating the ability to mount an adequate defense. Reed was bushwhacked. And the district court admittedly knew it.⁴

² See Nicolaysen's declaration attached to 'Ex Parte Application by Defendant Steven William Sutcliffe for Order Appointing Computer Expert Under Criminal Justice Act, dated March 24, 2003, page 4.

³ See **Exhibit 3**, attached hereto.

⁴ "[REED,] YOU ARE SITTING HERE WITH A *UNDERSTANDABLE WORRIED LOOK*, IF NOT A *SCOWL* ON YOUR FACE, AND *I UNDERSTAND WHY* AND I 'M CONCERNED ABOUT THAT. WELL, IF, MR. REED, I MAKE A FINDING THIS AFTERNOON THAT BECAUSE OF MY NEGLIGENCE TO GO THROUGH, TO CROSS EVERY T AND EVERY I THAT I THOUGHT HAD BEEN ADEQUATELY COVERED - - BECAUSE THE MESSAGE CLEARLY GOT THROUGH TO MR. SUTCLIFFE, HE'S CONFIRMED THAT YET AGAIN TWICE TODAY **THAT I CONSISTENTLY TOLD HIM IT WAS NOT IN HIS INTEREST TO, BY CONDUCT OR OTHERWISE, GO IT**

13. Judge Matz failed to adhere or just ignored to due process requirements:
 - a. *Comprehensive Plan*: there was no comprehensive plan created or followed; the record reflects no such plan.
 - b. *Effective Supervision*: Judge Matz did not supervise or control counsel properly; numerous problems were reported with evidence, discovery, communications and experts, but only after months of delays was any order issued to correct and even then the corrections were inadequate.
 - c. *Active*: Judge Matz was not active but passive, waiting for problems to arise before dealing with them.
 - d. *Timely*: Judge Matz failed to rule timely on issues that affected further proceedings (see sections two and three of this document relating to the right to proceed Sui Juris and competency proceedings).⁵
 - e. *Continuing*: Judge Matz failed to stay apprised of the progress of the case except when the case was calendared; no interim supervision was exercised.
 - f. *Firm but Fair*: Judge Matz failed to hold previous counsel accountable for their failure to procure experts, obtain and turn over evidence and discovery or even to file the required complex notice in the record. Time limits were not honored but extended and waived despite the objections of Sutcliffe.
 - g. *Careful*: Judge Matz' failure to follow the law on the 'complex' designation and management of this case, particularly regarding counsel, evidence and experts, demonstrates a clear lack of care on his part.

ALONE AND WAIVE HIS RIGHT TO A LAWYER. “ Yet, Matz also claimed before this previous statement: “SO A PRUDENT THING TO DO FOR SOMEBODY IN YOUR SITUATION [SUTCLIFFE] WOULD BE IS I’LL TAKE MY BEST SHOT AT IT. YOU CAN TAKE YOUR BEST SHOT AT BEING YOUR OWN LAWYER, IF YOU WANT, OR YOU CAN BE A LAWYER.” Page 54. Ibid. Appellant wonders where this constitutional attitude was on January 14, 2003?

⁵ See “Defendant’s Motion for Court to Reconsider Orders of 8/27/03” filed September 4, 2003. This motion contained specific objections to the fact that Judge Matz improperly delayed hearing Sutcliffe’s motion to relieve Nicolaysen for months while Nicolaysen continued to act as counsel despite Sutcliffe’s objections, AND then hearing Nicolaysen’s motion to be relieved before hearing Sutcliffe’s motion to relieve him; this course of action effectively allowed Nicolaysen to blame Sutcliffe for the problems with the attorney/client relationship and prevent Sutcliffe from registering his factually-based complaints about Nicolaysen into the record. Altogether this series of improper actions by the Judge allowed him to later declare that Sutcliffe, by his actions, had waived his right to counsel.

14. Among the legal requirements are that the US attorney is required to file a "Notice to Court of Complex Criminal Case". This requirement can be found in General Order No. 07-02. A sample of this required notice is attached as **Exhibit 4**, attached hereto. The law and the notice itself specify that the form be filed no later than two business days *prior* to the time of arraignment. This Notice was never filed at any time, despite the fact that there were two arraignments, and therefore *two opportunities to meet the requirement and procedural process*. **Exhibit 5**, attached hereto.
15. The court failed to adhere to legal requirements and procedure and the result was that the case was only *partially* treated as complex. For purposes of waiving time the case was treated as complex, but for purposes of expert witnesses, judicial management and appointment of appropriate counsel for the defendant, it was not. This was another substantive violation of the Due Process Clause.
16. Experts were ordered but there was no follow through, no reports, no investigations made. At trial, the Defense had no expert except for cross-examination of the government's expert, which of course is by nature prejudicial to the defense.
 - a. Over a year after the designation as complex, still no expert reports or witness testimony had been obtained for the defense. All of the attorneys assigned to Sutcliffe failed to follow the court's instructions to provide a proper defense in this regard.⁶
 - b. Counsel Reed went so far as to attempt to justify the complete failure of all defense counsels to procure the experts on September 26, 2003:

"REED: And that's why I didn't go through with the expert - -

THE COURT: That's why what?

REED: That's why he didn't go through with actually following through, getting the experts, having them come testify; he [Nicolaysen] felt that he had sufficient information with that CD-ROM to accomplish what he needed through cross-examination of (government) witnesses and other means." (See

⁶ This established fact contributes to Sutcliffe's continual assertions that his appointed counsel was incompetent and/or ineffective.

transcript of proceedings from September 26, 2003, page 38)

- c. The above statement by counsel Reed is astonishing; it is unfathomable that a competent defense counsel would rely for his 'expert' witness (required by law in a complex case) on information provided alone by the expert for the adversary, thereby precluding cross-examination.⁷

Thus in summary on this argument we are dealing with two options, both of which result in reversible error to the case: Either the case WAS complex or it WAS NOT.

If the case WAS complex,⁸ then the court erred by

- a) Ensuring the U.S. Attorney's office filed the proper notice required by law,
- b) Failing to ensure experts for the defense were secured and managed,
- c) Failing to ensure each appointed attorney was competent to handle the complex issues of the case
- d) Failing to properly manage a complex case resulting in lost reports, files and witnesses for the defense.
- e) Deciding unilaterally on September 26, 2003 that the case was suddenly no longer complex so as to commandeer the last-minute cooperation through a bushwhacking of Mr. Reed.

If the case WAS NOT complex, then the court and U.S. Attorney erred by

- a) Waiving time improperly, violating Sutcliffe's Speedy Trial rights under the Due Process Clause; and,

⁷ Later herein we will show that Nicolaysen actually threatened Sutcliffe that he would cause him to lose the case if Sutcliffe made him look bad, go to court or otherwise did not do as he was told. Nicolaysen's failure to procure the experts as ordered can be construed to be related to this threat. Nicolaysen's declaration attached to 'Ex Parte Application by Defendant Steven William Sutcliffe for Order Appointing Computer Expert Under Criminal Justice Act, dated March 24, 2003, page 4, clearly states experts were hired and performed work but none of that work ever made it to the defendant or to the trial. Please see **Exhibit 6**, attached hereto of Transcript of 09.26.2003, Pg. 20.

⁸ First-Degree Murder trials, with one or more victims, have been brought to trial faster than this case was in the district court. "Three measures of processing time were taken from the day of the murder--to arrest, to indictment, and to final disposition. Most spouse murder defendants were arrested on the same day the killing occurred.

Average time to indictment was 4 months. Average time to final disposition was almost exactly 1 year. For husbands tried by a jury, 12 1/2 months was the average elapsed time from the day of the murder to the conclusion of the jury trial. For wives tried by a jury it was significantly longer, about 18 1/2 months." Source: U.S. Department of Justice. See <http://www.ojp.usdoj.gov/bjs/pub/ascii/spousmur.txt>

- b) Appropriating funds for the government for experts when such was not required
- c) Misrepresenting the complexity of the case to all the parties and then filing six "ends of justice" extensions for waivers of time.⁹

II. DUE PROCESS VIOLATIONS REGARDING RIGHT TO PROCEED SUI JURIS

The first noteworthy event to take place pertinent to the Sixth Amendment right to counsel is that the Public Defender's office assigned to Sutcliffe moved to withdraw from the case. Sutcliffe in fact objected to them withdrawing, citing the fact that it would cause an unreasonable delay in trial.

Why did they withdraw? They refused to enter their reasons on the record, but the Appellant avers their reason was related to two facts:

- a) that the Public Defender's office at the time supported a bill,¹⁰ which proposed abridging Sutcliffe's speech on the internet based on speech found on a website www.killercop.com,¹¹ previously owned and operated by Mr. Sutcliffe; and,
- b) that the Public Defender's office had some role in the police activity which resulted in the removal of www.killercop.com from the internet. See **Exhibit 27**, attached hereto.

The withdrawal not only of the individual Public Defender, but also of the entire office, for the entire district is extremely unusual, and created immediately an undue burden upon the Defendant. This is relevant because it establishes a pattern of behavior on the part of the Public Defenders office that they refused to aid Mr. Sutcliffe because of his political beliefs and speech. If this 'conflict' extended to every attorney in the Public Defender's office for the

⁹ "Congress did not intend the 'ends of justice' exclusion ... to be granted as a matter of course but rather to be used **sparingly** and **only when necessary**." U.S. v. Ramirez-Cortez, 213 F.3d 1149, 1155 (9th Cir. 2000) Speedy Trial Act imposes strict specificity requirements for 'ends of justice' exception; if district court fails to comply with them, period of time covered by continuance will not constitute excludable delay." U.S. v. Lloyd, 125 F.3d 1263 (9th Cir. 1997)

¹⁰ See **Exhibit 7**, attached hereto.

¹¹ "The behavior that neither resulted in a conviction nor even an arrest but that was facially unlawful, and in any event a very chilling indication of the consideration as to how likely it is that he would commit further crimes is that he undoubtedly and indisputably set up this killercop.com website." Sentencing Transcript, 04.15.2004, Page. 25.

entire district, *why should the defendant believe it will not also extend to those attorney's appointed through the Public Defenders office, or at the least, that the Public Defender's office would choose the most inappropriate attorney possible for Sutcliffe to ensure his conviction. Indeed, the facts confirmed Sutcliffe's fears. The next attorney "dragged" into the case,¹² Harris, was completely and utterly computer illiterate and didn't even know what a hard drive was. This improper appointment was IN SPITE of the fact that the court designated the case complex because of computer issues and ordered experts be assigned. **Yet, Sutcliffe was blamed for firing Harris.***

Sutcliffe's motion to relieve counsel Harris was filed and heard on January 14, 2003. Focusing only on the issue of relieving counsel, Sutcliffe's demand was clear that he wanted to "stand Sui Juris, without counsel, and under the foregoing conditions, only the Accused may speak for the Accused," if the court would not provide him funds to retain an attorney of his choice. See **Exhibit 8**, attached hereto, a copy of the original motion with the relevant statements highlighted.¹³

1. Judge Matz claimed not to understand the paperwork, despite the clear statement regarding Sutcliffe's desire on this matter. "I don't understand your paperwork so you need to tell me what you're asking for" was the Judge's response (see transcript of January 14, 2003, p. 5, 6.).
2. Sutcliffe reiterated multiple times during the January 14th hearing that what he wanted was stated in the paperwork he filed and that he would stand on that paperwork. (see transcript of January 14, 2003, p. 5, 6, 7).
3. When asked if he wanted another lawyer, Sutcliffe replied "That's not what I want." (see transcript of January 14, 2003, p. 16).

¹² See **Exhibit 9**, attached hereto.

¹³ The actual title of the motion was "Writ of Mandamus to Compel; Motion to Dismiss counsel for the Ineffective Assistance; Motion to Dismiss for the Lack of the Jurisdiction; Motion to Dismiss for Prosecutorial Misconduct; Motion to Dismiss for the Outrageous Government Conduct; Motion to Dismiss for Violations of the 5th and 6th Amendments of the Bill of the Rights by the Prosecutor, Counsel and Court."; However, the court disregarded everything in the document except for the motion to relieve counsel, so we refer to this motion primarily as a "motion to relieve counsel" for the purposes of this discussion.

It is important to note here that this is where Sutcliffe makes the statement "I want this case dismissed. How many lawyers do I have to go through?" This statement was misinterpreted by the court and counsels to mean that Sutcliffe intended to continually dismiss counsel regardless of their competence, but such was never said or meant; this was an inference that was NOT intended at all. To clarify, Sutcliffe believed at this point, based upon the history to date, that all the attorneys appointed by the Public Defender's office would be equally incompetent and that the continual appointment of incompetent counsel would only prolong his incarceration. To Sutcliffe, the court asked him "Do you want another incompetent lawyer?" His response was based upon that frame of understanding.

4. Sutcliffe wanted, at all times, competent counsel to be provided for him (therefore it can be understood that he would prefer not to go to trial alone which is supported by his statements during the hearing about not feeling qualified to fight alone), but if the counsel provided was incompetent then Sutcliffe would have no alternative but to proceed without counsel. This was stated clearly in his motion to relieve counsel, when he stated on page 5: "Further, since it is now common knowledge that my court appointed counsel, former and latter, is ineffective, incompetent and/or beholden to my adversary (cite omitted) the Accused *demands* that if this court intends to move forward from this point that this court shall make monies available to the Accused so that he Accused may locate and secure competent counsel... [Or] if this previous request is denied [then I] will stand Sui Juris, without counsel..."
5. In the hearing, after reviewing the facts regarding Harris' failure to follow instructions from Sutcliffe, and the fact that the court recognized and expressed its concerns about counsel's competence on November 21, 2002, page 14-15, Sutcliffe reiterated from his motion: "With that I will stand on my paperwork and request that money be provided so I choose competent counsel." See transcript of January 14, 2003, p. 25.
6. Judge Matz immediately denied that request [transcript of January 14, 2003, p. 25]. It was clearly stated in the motion that in the event that request was denied Sutcliffe would proceed without counsel, but Judge Matz ignored Sutcliffe's written

statement and instead proceeded to inform Sutcliffe that he would appoint another attorney [transcript of January 14, 2003, p. 25].

7. Sutcliffe believed that he did not need to reiterate his written statement that he would rather have no counsel than an incompetent counsel, and repeatedly said that he stands on his paperwork. The court erroneously construed Sutcliffe's standing on his paperwork to mean that he wanted another attorney appointed.
8. Judge Matz then explained that the trial would be delayed while another attorney was appointed, and when asked if he understood Sutcliffe replied "I understand that I've been prejudiced to an extreme amount by having a new lawyer appointed, against my objection..." [transcript of January 14, 2003, p.30] This would seem to be a quite clear implication that Sutcliffe did not want another (incompetent) attorney appointed.

Further, the District court judge clearly violated this circuit's law ¹⁴ on January 14, 2003, when he forced Sutcliffe to choose between incompetent counsel, and none at all.¹⁵ See Crandall v. Bunnell, No. 96-56644, (9th Cir.1998). It needs to be stated also that during the hearing of January 14, 2003, it was Sutcliffe's belief that his albeit reluctant decision to proceed without counsel was clearly stated in his Faretta demand and that continual reiteration of it would not make any difference to Judge Matz who was apparently determined to appoint more incompetent counsel. Sutcliffe further made clear his position on the next hearing date of January 17, 2003.

9. Sutcliffe stated his objection to the appointment of Nicolaysen on January 17, 2003, at his first opportunity: "I want to object to these proceedings. I have not authorized this man to speak for me. If anybody in the courtroom thinks they have authority to speak for me, I want to see their authority right now." See transcript from January 17, 2003, p. 5.
10. Judge Matz ignored him.

¹⁴ Crandell V Brunnell, No. 96-56644, (9th Cir.1998)(Opinion by the Honorable Judge Beezer)

¹⁵ JUDGE: "You have one choice. Meaning, there are two things from which you can choose. It's either Mr. Harris or yourself." SUTCLIFFE: "I will stand on my paperwork." See Transcript of 01.14. 2003, p. 5. *Despite choosing the latter of the unconstitutional choice, the court ignored Sutcliffe's choice.*

11. Sutcliffe offered to proceed with his arraignment [see transcript of January 17, 2003, p. 5](Sui Juris) without inquiring of Sutcliffe's objections.¹⁶
12. Sutcliffe reiterated again "No man speaks for me unless he can show me his authority that I signed over and delegated him to speak for me."
13. Judge Matz clearly ignored Sutcliffe's refusal to accept Nicolaysen and said, "Mr. Nicolaysen is your lawyer and he's proceeding under the authority of the court."
14. Sutcliffe replied, "No, he's not my lawyer. He's your lawyer. He's not my lawyer. He's your lawyer."
15. Even Mr. Nicolaysen understood Mr. Sutcliffe's demand to dismiss him and accordingly asked "Does your Honor wish us to remain at the counsel table?"¹⁷ The court instructed him to remain.
16. After additional matters were discussed, Sutcliffe again objected, but this objection was again ignored.

Thus, if there was any confusion after January 14, 2003 that Sutcliffe wanted at that moment to proceed Sui Juris under Faretta, it should have been abundantly clear on January 17, 2003. Regardless, the court proceeded anyway without hearing (see fn.17, supra) on motions submitted by Sutcliffe despite the clear refusal of this representation. Moreover, it was foisted counsel's actions that then caused Sutcliffe to be subjected to improper transfer and commitment to another facility for competency evaluation and later treatment. The next section deals with particulars relating to the denial of due process connected with this competency examination.

On March 14, 2003, at the very next opportunity to be heard, Sutcliffe again objected to the appointment of Nicolaysen and made it again clear he refused his [mis]representation. The relevant pages of the transcript of March 14, 2003, are attached hereto as **Exhibit 10**. Nicolaysen was about to begin discussing Sutcliffe's being found competent after the exam by the Doctor, when Sutcliffe objected to his [mis]representation.¹⁸ Sutcliffe believed that Nicolaysen

¹⁶ "Due process does not require [a] higher standard, [it] requires a[n] ... inquiry." See Godinez v Moran, 509 U.S. 389 (1993).

¹⁷ See Transcript of January 17, 2003. Ibid.

¹⁸ We must note here that earlier in the morning, prior to the hearing on March 14, 2003, Nicolaysen visited Sutcliffe in the holding cell and threatened him: "...that man has threatened me in the past if I pressured him or made him look bad or forced him to do anything, go to Court, he would make sure I lose. Now, he's got my whole hands, my whole world are in his hands right now in that file. All weekend he

was going to negatively affect his case and situation and wished to prevent such damage from occurring.¹⁹ This necessitated that he object immediately.²⁰

17. Judge Matz ignored the motions and objections and informed Sutcliffe that if he had one more 'outburst' he would be removed from the courtroom.
18. At this point Judge Matz recognized that Sutcliffe's statements constituted a motion to relieve Nicolaysen as counsel and agreed to rule on it 'later' in the proceeding. See transcript of March 14, 2003, p. 9, "Now, until and unless I rule upon your motion, which I intend to do at some point in this morning's proceedings, but after further information." He never did rule on it, Judge Matz did not actually rule on the motion on March 14, 2003, or ever.²¹ Instead, he allowed Nicolaysen, over Sutcliffe's objections, to question Dr. Backer and manipulate his testimony to support subjecting Sutcliffe to further psychological examinations for an indefinite period of time, and only after that would the Judge consider the motion to relieve counsel. Then, months later on August 27, the judge allowed Nicolaysen's motion to be relieved to be heard and granted it without ever hearing Sutcliffe's motion to relieve Nicolaysen.
19. It should be particularly noteworthy that by denying Sutcliffe's motion to relieve counsel immediately, said counsel proceeded to damage his 'client' and subject him to additional damages. Not only does this offend the sensibilities of an expected client/attorney relationship but also the fact that

might be taking out stuff. He might be losing stuff, misplacing stuff. I don't know. All I know this man has threatened me in the past to make me lose this case. Now he's ignoring everybody doing his own thing. I want that noted for the record." See transcript of proceedings from September 2, 2003, p. 14. This was one key reason why Sutcliffe reacted so negatively to the appointment Nicolaysen as counsel, but the cornerstone reason was that Nicolaysen had told Sutcliffe that he was not ready for trial and that Nicolaysen had failed to procure any experts as required for a 'complex' case, and beyond that Nicolaysen continually told Sutcliffe that he would have to waive time, something that Sutcliffe believed was not in his interests. The transcript of proceedings from September 2, 2003, page 14 is hereto attached as **Exhibit 11**.¹⁹ To further support Sutcliffe's suspicions, Nicolaysen first had Sutcliffe "removed" from the defense table, then proceeded to attempt to establish Sutcliffe's incompetence, despite the conclusion of Dr. Backer. "Nicolaysen: "I'm not prepared to simply accept, at face value, the conclusion in the report, that my client is competent." See transcript of March 14, 2003, p.11. This resulted in a **6-month delay** of trial.

²⁰ "Objection. He does not speak for the Accused." See transcript of March 14, 2003, p. 7.

²¹ See DEFENDANT'S MOTION FOR COURT TO RECONSIDER ORDERS OF 8/27/03; SPECIFICALLY, ORDER GRANTING GREGORY NICOLAYSEN'S MOTION TO BE RELIEVED; AND ORDER REFUSING TO CONSIDER DEFENDANT'S PREVIOUSLY FILED MOTION TO HAVE NICOLAYSEN REMOVED AS COUNSEL FOR HIS INCOMPETENCE. Filed September 4, 2003.

this attorney was forced upon him and allowed to take such a damaging course of action against his own client while the client is demanding the attorney be removed is exactly the kind of violation of basic rights which Faretta meant to prevent.

This course of action by Judge Matz was highly improper and prejudicial to Sutcliffe. Permitting Nicolaysen to proceed in any respect or for any period of time as attorney for Sutcliffe was a violation of Sutcliffe's Sixth Amendment rights stated under Faretta v. California, 422 U.S. 806 (1975). Moreover, Sutcliffe's objections were proper, timely and needed to be made at that time of a violation to try to prevent further damage by Nicolaysen. Judge Matz' silencing of Sutcliffe and threatening to remove him for objecting, and then did, denied Sutcliffe the ability to defend himself against what was happening right before his eyes. And continued to happen again and again.

In summary, Sutcliffe stated in writing on January 14, 2003 that he wanted to proceed Sui Juris. On the hearing that day he objected at every turn to the appointment of counsel by or through the Public Defender's office and made it clear that he did not authorize Harris or Nicolaysen to represent him, yet the court refused to grant him Sui Juris status. He objected again on January 17, 2003 and yet again on March 14, 2003. And, as Sutcliffe feared, the actions of his court-appointed counsel did in fact continue to inflict further damage upon Sutcliffe.

It should be clear to this court from the record that Sutcliffe clearly refused representation and should have been allowed to proceed without counsel. Judge Matz denied Sutcliffe this right and forced representation upon Sutcliffe in violation of the Faretta doctrine and the protections of the Sixth Amendment. This denial and course of action by Judge Matz constitutes both substantive and procedural violations of 5th Amendment Due Process Clause and is an automatic reversible error.

III. DUE PROCESS VIOLATIONS AT THE COMPETENCY HEARING

The competency hearing of Steven Sutcliffe was an important error in due process in this case. Here we will show that the entire procedure specified by law for the conducting of such an inquiry was not followed properly, or at all in its essential respects to protect the rights of the defendant.

This failure was a compound effort of both the incompetent and conflicted counsel assigned to the defendant along with negligence and abuse of the court with the complete support of the prosecutor. We shall briefly here examine the facts and law pertaining to these specific violations:

A. Law of the Matter

18 USC 4241 through 4247 specifies the due process to be followed in the determination and treatment of competency of a defendant in a criminal trial. This procedure is mandatory, not suggestive, and violation of it is a clear violation of due process.

In addition to the law itself, the Benchbook for US Judges (March 2000 rev.) specifically declares this law "is a complex enactment, the provisions of which are spelled out in great detail. Its provisions must be read with care and complied with meticulously." (Benchbook for US District Court Judges, March 2000 rev., p. 53) See **Exhibit 12**, attached hereto.

There were multiple hearings in connection with the competency examination(s) and treatment. In addition there is the matter of the time spent in the examination and treatment that exceeded that allowed by 18 U.S.C. 4241, without lawful extensions by the Director, required by due process. These errors affected both the substantive and procedural rights of the Defendant.

The following is a listing of the due process violations that occurred and reference to documents from the record which detail the facts and law on the matter, all of which is incorporated hereat as if fully set forth.

1. Judge Matz had determined on January 14, 2003, that he was going to subject Sutcliffe to a competency examination.²² According to the law the Judge did not have sufficient reasonable grounds to authorize this on his own. The only facts supporting the Judge's improper action was Sutcliffe's refusal to accept incompetent and ineffective counsel forced upon him by the court, and for filing documents with the court to attempt to preserve his rights.
2. On January 17, 2003, Judge Matz appointed Mr. Nicolaysen as Sutcliffe's counsel. Sutcliffe objected and the court

²² See transcript from January 14, 2003, page 31.

violated due process and Sutcliffe's Faretta rights by not immediately relieving Nicolaysen. Instead, the court steamrolled right over Sutcliffe's objections and appointed Nicolaysen and then permitted Nicolaysen to propose expanding the scope of the competency examination originally ordered by the Judge. The original examination was to be conducted by a local physician, but Nicolaysen proposed an extensive psychological profiling in a facility out of state and for a prolonged period of time. This expansion of the scope of the competency treatment was proposed solely by Nicolaysen.²³ The court adopted Nicolaysen's proposal and proceeded to transfer Sutcliffe to a facility out of state to a prolonged 'treatment' of competency. Again, this proposal would not have been adopted had Nicolaysen been removed promptly as required under Faretta or had the district court not forced Sutcliffe to make an unconstitutional choice between incompetent counsel Harris, or no counsel at all back in January 14, 2003.

3. On March 14, 2003, Sutcliffe again attempted to remove Nicolaysen as counsel.²⁴ The court improperly delayed ruling on that motion violating Sutcliffe's Faretta rights yet again. The court then silenced Sutcliffe from further objecting. Nicolaysen then challenged Dr. Backer's opinion that Sutcliffe was, in fact, competent.²⁵ He called Dr. Backer to the stand and the court removed Sutcliffe from the courtroom. Nicolaysen then proceeded to manipulate the testimony from Dr. Backer to elicit a contrary conclusion by getting him to state that it was "probably" prudent to send him to a medical center, but the court NEVER made a finding of incompetence.²⁶ From this manipulated conclusion by Nicolaysen, Sutcliffe was now to be subjected to further competency evaluation and/or treatment.²⁷ Had Nicolaysen been removed promptly as required by Faretta, the original finding of competency would have been honored. Judge Matz made an improper finding on this date that "the 'interests of justice'²⁸ require that you be examined further."²⁹

4. The court did NOT make a factual finding of incompetence, required by 18 USC 4247(d) for treatment, but instead subjected Sutcliffe to another competency

²³ See transcript from January 17, 2003, pages 17-18.

²⁴ See transcript from March 14, 2003, page 5.

²⁵ Ibid., page 11: "Mr. Nicolaysen: I'm not prepared to simply accept, at face value, the conclusion in the report, that my client is competent."

²⁶ Ibid., pages 18-49.

²⁷ Ibid, pages 68-69.

²⁸ There is no such animal allowed in the law. The only extension allowed by the law is an "ends" of justice extension. The court used two "interests" and four "ends." See fn. 9, Supra.

²⁹ Ibid., page 68.

'evaluation' under 18 USC 4247(a). This was improper because the evaluation had already been conducted and the Sutcliffe found competent. Moreover, U.S. Attorney Debra Yang clearly knew 4241(a) was only for exams only as she previously demonstrated on January 17, 2003. See **Exhibit 13**, attached hereto. **Yet, she remained silent on March 14, 2003 and failed to correct the error.** And did not admit this violation until April 7th, 2003, at the *secret hearing*. See **Exhibit 14**, attached hereto. Furthermore, the April 7th hearing *quickly* took place ONLY after all parties, including THIS COURT were notified on April 4th 2003, in Sutcliffe's PETITION FOR REHEARING, IMMEDIATE RELIEF REQUESTED³⁰ which case is hereby incorporated into this argument.

5. On April 7, 2003, a hearing was held while Sutcliffe was out-of-state "being treated." This hearing itself violated the Fifth Amendment due process rights of Sutcliffe, substantive and procedural, because Sutcliffe had a right to be present, especially in light of the fact that Sutcliffe had demanded the removal of Nicolaysen as his counsel much earlier. Nicolaysen was improperly retained and acted further against Sutcliffe's interests during the April 7, 2003 hearing. All parties in this hearing were unlawful and admitted they knew *previously* that the judge failed to follow process under the law.

6. Judge Matz improperly declared that Sutcliffe's presence was not required a) because he was represented by Nicolaysen and b) because it's a 'mixed issue of fact and law.' He was in error in both respects: Nicolaysen was not authorized to act for Sutcliffe (See *Faretta*), Sutcliffe's presence was required under Due Process since the issue of competency was only an issue of fact, not law.³¹ (See *Dusky v. US*, 362 US 402, annotations at paragraph 8 on page 2083.) Thus, this April 7 hearing violated Sutcliffe's due process rights on several counts.

7. During the April 7, 2003 hearing, the court admits it did not make a finding of incompetence.³² Yet the prosecutor admitted that they had to treat the procedure as a 4241(d) treatment, and for that a finding of incompetence was necessary.³³ Nicolaysen admitted that there would be a legal defect without the finding.³⁴ Sutcliffe was not provided with a transcript of the April 7, 2003 hearing

³⁰ Case No., 03-712223, (9th Cir.2003) DENIED.

³¹ See transcript from August 27, 2003, page 10 of pages 8-11, attached hereto as **Exhibit 15**.

³² See transcript from April 7, 2003, page 4.

³³ *Ibid.*, page 5.

³⁴ *Ibid.*, page 7.

until two months thereafter by Nicolaysen, despite being ordered to send it "promptly" to Sutcliffe, as well as the "treating³⁵" Doctor.

8. Sutcliffe was held for treatment for a period of 4 months, during which time he was not provided any treatment. When brought back to California he immediately questioned the credibility and accuracy of Dr. Patenaude's report, said Dr. was later investigated and removed from office for falsifying records like Sutcliffe claimed.^{36 37} And, at no time was Sutcliffe deemed competent for trial. No certificates were ever filed by the Director of the Facility required under 18 U.S.C. 4241-4147(d), certifying the report of Dr.(sic)Patenaude, or extending the time, including up to the present, either for an "reasonable" extension of time³⁸ or for his release. Nor did the court make an inquiry of the Director for the extensions or certificate required. The court violated due process by failing to obtain the proper release certificate, or even inquire about one. See **Exhibits 16 & 17**, attached hereto. The court violated due process by proceeding with trial although nothing had changed since the court found him incompetent previously. Furthermore, Dr. Patenaude's report is arguably invalid by virtue of the fact that a) the director failed to certify it and b) coupled with the testimony by Sutcliffe at the August 27, 2003 hearing (before the trial). The court further denied Sutcliffe due process when it failed to rule or act on his motions for documentation pertaining to reasonable³⁹ extensions of time while detain and being examined or treated.

9. See also **Exhibits 18, 19, 20, 21, 22 & 23**, attached hereto.

IV. DUE PROCESS VIOLATIONS BY APPEALS COUNSEL

Sung Park, failed to raise these due process violations in his appeal, nor was authorized to stipulate away my personal or subject-matter jurisdictional challenge and therefore the Appellant respectfully requests that the court reconsider

³⁵ Who never once treated Sutcliffe.

³⁶ See docket 334 on January 6, 2004, court received letter from director of facility questioning the credibility and accuracy of Dr. Patenaude's reports and notifying court's across the country. Also see transcript of proceedings from August 27, 2003 where Sutcliffe declares no treatment took place.

³⁷ See cases filed against the credibility and accuracy of Dr.'s reports, attached hereto as Exhibits 21 & 22. See also <http://tinyurl.com/2xe9g8>

³⁸ See Jackson V. Indiana, 406 U.S. 715, 733 (1972)("[i]mposed a rule of reasonableness...")

³⁹ See Docket of District Court CR. 02-350(A) AHM, Docket No. 334 & 350. See also, Page. 6, April 15, 2004.

its decision on this case and find reversible error, or act on Sutcliffe's previous motion, dated *before* the OPINION of this court.⁴⁰

CONCLUSION

Sutcliffe was given an unconstitutional choice of incompetent counsel, or none at all. Then when he chooses none (himself-Faretta) the time-honored right was not honored. The case was not managed as a complex case. This lead to multiple due process violations and this honorable court should rehear the case and find reversible error. And the accused apologizes for annoying this court. See **Exhibit 24**, attached hereto.

Respectfully Submitted,

Steven: Sutcliffe, Filed Sui Juris

Dated: October 21, 2007

⁴⁰ OBJECTION TO APPOINTMENT OF CONFLICTED COUNSEL FROM FEDERAL PUBLIC DEFENDERS OFFICE. MOTION TO RELIEVE COUNSEL FOR CONFLICT AND INCOMPETENCE: MOTION TO REPRESENT SELF UNDER THE DURESS: FACTS: DECLARATION.

DECLARATION:

I, Steven: Sutcliffe, do hereby affirm the following facts to be true under the penalty of the perjury.

1. I never authorized Sung Park to stipulate, never less "admit," and waive my right to challenge that I "transferred" any specific pages of the website I was charged with violating. See **Exhibit 25**, attached hereto.
2. Sung Park allowed me to write the jurisdiction argument in the appeal brief related to FTP log's, NIC'S, MAC'S AND IP'S, since he was not and expert, and no computer expert reports have ever been turned over to the defense, despite being paid for in the past by the court. Despite being ORDERED to be turned over by the district court. See **Exhibit 26**, attached hereto.
3. Right before oral argument was to begin, Sung Park, again asked me to "coach" him on the jurisdiction challenge, specifically regarding FTP log files, NIC'S, MAC'S AND IP'S.
4. I have never waived my right to question personal jurisdiction of the district court.
5. I have never seen nor received any expert reports or investigative reports paid for by the district court. Nor were any ever turned over to the counsel appointed for the appeal.
6. No appeals counsel appointed ever applied for or hired any experts to help in the appeal.
7. I have not heard from William Melcher since my previous Motion was filed with this court. And I renew my objection to his conflicted appointment from the Public Defenders office and his lack of ability to lick a stamp for three months to contact me since being appointed.

I, Steven: Sutcliffe, do hereby affirm the aforementioned facts.

Dated: October 21, 2007

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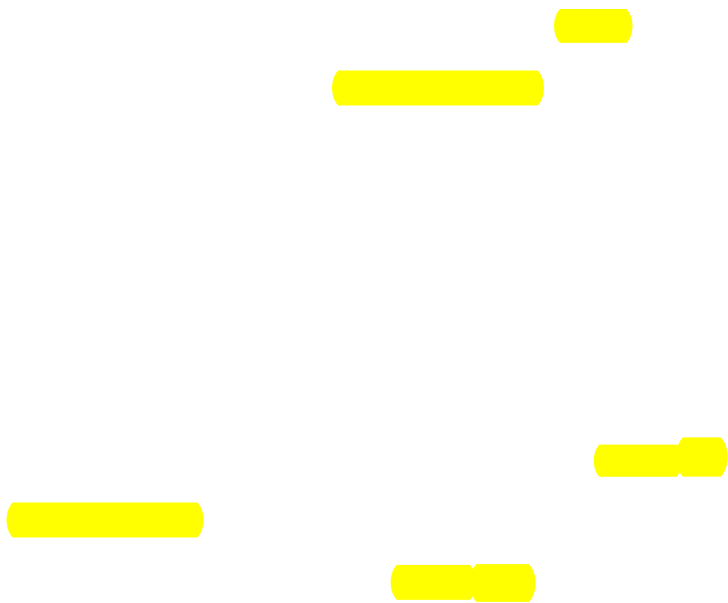
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